

Time 2 ANT

Attorney Docket No.: 084330-000000US

### DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the inventor called: HEMANGIOBLAST PROGENITOR CELLS, the specification of which was filed on 07/11/03 as Application No.09/521,071

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to discluse information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

٠. و	Application(s)		· · · · · · · · · · · · · · · · · · ·	Priority Claimed Under 35
ſ		Application No.	Date of Filing	USC 119
١	Соиліту	Дринева		1
- 1				I Alexand Ligard belown

I hereby claim the benefit under Title 35, United States Code § 119(c) of any United States provisional application(s) tissed below:

71/ Cludes state and amount	
Application No.	Filing Date
60/453,729	July 12, 2002
60/426,789	November 18, 2002

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) tisted below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title of each of the claims of this application is not disclosed in the prior United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37. Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

William December 4		
Application No.	Date of Filing	Status
10/618,540	July 9, 2003	Pending
PCT/SG03/00169	July 11, 2003	

Middle Name or Initial: First Name: Country of Citizenship: Last Names Full Name of Lim Inventor 1: State/Foreign Country: Singapore Residence & Singapore Posted Code: State/Country: Singapore
Post Office Address: Citizenship: City: 470601 Singapore Post Office Stagepore Apt. Block 601, #10-508, Address: Bedok Reservoir Road

I further declate that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 Sal <del>King L</del>im **Ciang** 24th Oct 2005

1 of 1

# BEST AVAILABLE COPY

*y* 6

GW:gth 10/28/05 445708 79612-63

PATENT Atty. Ref. No. 4810-66314-02

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sai K. Lim

Application No. 10/521,071

Filed: January 12, 2005

Confirmation No.

For: HEMANGIOBLAST PROGENITOR

**CELLS** 

Examiner: Not yet assigned Art Unit: Not yet assigned

Attorney Reference No. 4810-66314-02

### **CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent for Applicant(s)

Date Mailed ///30/

# POWER OF ATTORNEY BY ASSIGNEE (REVOCATION OF PRIOR POWERS)

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

As assignee of the entire interest of the application referenced above (pursuant to the attached assignment), all powers of attorney previously given are hereby revoked. The practitioners associated with the customer number provided below are hereby appointed to transact all business in the U.S. Patent and Trademark Office connected with the referenced application and all continuations and divisions thereof.

## **Customer Number 24197**

Address all future correspondence to the address associated with Customer Number 24197, which address is:

Klarquist Sparkman, LLP
121 S.W. Salmon Street, Suite 1600
Portland, OR 97204

Address all telephone calls to Gwynedd Warren at telephone number (503) 595-5300.

**BEST AVAILABLE COPY** 

The undersigned is authorized to sign this Power of Attorney by Assignee on behalf of the National University of Singapore.

Executed at	on the _	day of	, 2005
Ву	_		
Name:			
Title:			

The undersigned is authorized to sign this Power of Attorney by Assignee on behalf of the Agency for Science, Technology and Research.

By Name:
Title:

SURESAN SACHI
AGENCY FOR SCIENCE, TECHNOLOGY & DESCRIPTION:

10/521051

GW:gth 10/28/05 445708 79612-63

PATENT Atty. Ref. No. 4810-66314-02

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sai K. Lim

Application No. 10/521,071
Filed: January 12, 2005

Confirmation No.

For: HEMANGIOBLAST PROGENITOR

**CELLS** 

Examiner: Not yet assigned Art Unit: Not yet assigned

Attorney Reference No. 4810-66314-02

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent for Applicant(s)

Date Mailed

# POWER OF ATTORNEY BY ASSIGNEE (REVOCATION OF PRIOR POWERS)

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

As assignee of the entire interest of the application referenced above (pursuant to the attached assignment), all powers of attorney previously given are hereby revoked. The practitioners associated with the customer number provided below are hereby appointed to transact all business in the U.S. Patent and Trademark Office connected with the referenced application and all continuations and divisions thereof.

# Customer Number 24197

Address all future correspondence to the address associated with Customer Number 24197, which address is:

Klarquist Sparkman, LLP 121 S.W. Salmon Street, Suite 1600 Portland, OR 97204

Address all telephone calls to Gwynedd Warren at telephone number (503) 595-5300.

The undersigned is authorized to sign this Power of Attorney by Assignee on behalf of the National University of Singapore.

Executed at	SINGAPORE	on the <u>"</u>	day of	Varentizons
By	1 U I			
	gned is authorized to sign , Technology and Resear		ney by Assig	mee on behalf of the
Executed at		on the	day of	, 2005
Ву				
Name:				
Title:				

Attorney Docket No.: 084330-000000US

# ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Sai King Lim of Apt. Block 601, #10-508, Bedok Reservoir Road, Singapore 470601, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

HEMANGIOBLAST PROGENITOR CELLS

Filing Date:

July 11, 2003

Application No.:

10/521,071 ; and

WHEREAS, National University of Singapore of 10 Kent Ridge Crescent, Singapore 119260 and Agency for Science, Technology and Research of 10 Science Park Road, #01-01-03 The Alpha, Singapore Science Park 2, Singapore 117684, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignor has signed his name on the dates indicated.

24th Oct 2005

Sai King Dim

kiang S

60400204 v1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sai K. Lim Application No. 10/521,071

Filed:

Confirmation No.

For: HEMANGIOBLAST PROGENITOR CELLS

Examiner: Not yet assigned Art Unit: Not yet assigned

Attorney Reference No. 4810-66314-02

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent

Date Mailed //

# POWER OF ATTORNEY BY APPLICANT/INVENTOR (REVOCATION OF PRIOR POWERS)

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

As the applicant/inventor of the entire interest of the application referenced above, all powers of attorney previously given are hereby revoked. The practitioners associated with the customer number provided below are hereby appointed to transact all business in the U.S. Patent and Trademark Office connected with the referenced application and all continuations and divisions thereof.

Customer Number 24197

Address all future correspondence to the address associated with Customer Number 24197, which address is:

Klarquist Sparkman, LLP 121 S.W. Salmon Street, Suite 1600 Portland, OR 97204

Address all telephone calls to Gwynedd Warren at telephone number (503) 595-5300.

Signature of Applicant/Inventor

Date

Sai Kiang Lim

Name

Attorney Docket No.: 084330-000000US

#### **DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: HEMANGIOBLAST PROGENITOR CELLS, the specification of which was filed on  $\frac{07/11/03}{07/11/03}$  as Application No.09/521,071

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 1.19 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

R <sub>II</sub>	Application(s)			Priority Claimed Under 35
	Country	Application No.	Date of Filing	USC 119
		·		

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/453,729	July 12, 2002
60/426,789	November 18, 2002

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

40

 	<del></del>	
Application No.	Date of Filing	Status
10/618,540	July 9, 2003	Pending
PCT/SG03/00169	July 11, 2003	

Full Name of	Last Name:	First Name:	Middle Name or Initial:  King Kigna
Inventor 1:  Residence &  Citizenship:	City: Singapore	Sal State/Foreign Country: Singapore	Country of Citizenship: Singapore
Post Office Address:	Post Office Address: Apt. Block 601, #10-508, Bedok Reservoir Road	City: Singapore	State/Country: Postal Code: 470601

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Sai King-Lim
King Date: 24th Oct. 2005

**BEST AVAILABLE COPY** 

PTC/SB/06 (05-03)

Approved for use through 04/30/2003. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No. 084330 0000000000

	STATEMENT UNDER 37 CFR 3.73(b)			
	Applicant/Patent Owner: SAI KTANG LIM			
	Application No./Patent No.: 10/521,071 Filed/issue Date: July 11, 2003			
	Entitled: HEMANGIOBLAST PROGENITOR CE-LS			
ì	ATTONAL UNIVERSITY OF SINGAPORE (Typo of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
!	, man and the same			
	states that it is:			
	1. The assignee of the entire right, title, and interest; or			
	2. an assignee of less than the entire right, title and interest.  The extent (by, percentage) of its ownership interest is%			
	in the patent application/patent identified above by virtue of either:			
	A.   An assignment from the inventor(s) of the patent application patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Rect, Frame, or for which a copy thereof is attached.			
	OR ·			
	B.  A chain of title from the inventor(s), of the patent application patent identified above, to the current assignee as shown below:			
	1. From: To : To : The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.			
١	2. From:			
l	The document was recorded in the United States Patent and Trademark Office at			
١	Reel, Frame, or for which a copy thereof is attached.			
١	3. From:			
١	The document was recorded in the United States Patent and Trademark Office at			
Ì	Reel, Frame, or for which a copy thereof is attached.			
	Additional documents in the chain of title are listed on a supplemental sheet.			
	☑ Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]			
	The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.			
	JASMINE KNAY			
	Date JASMINE KNAY  Typed or pick of tame			
١	Cibratura			
	Telephone number DEPUTY DIRECTOR			
	Title			
-1				

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including pathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for roducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313–1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313–1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 04/30/2003, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No. 084330-000000US

STATEMENT UNDER 37 CFR 3.73(b)			
Applicant/Patent Owner:SAI_KIANG_LIM_			
Application No./Patent No.: 10/521,071 Filed/Issue Date: July 11, 2003  Entitled: HEMANGIOBLAST PROGENITOR CELLS			
Agency for Science, Technology and Research			
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
states that it is:			
. 1. [] the assignee of the entire right, title, and interest; or			
2. an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is% in the patent application/patent identified above by virtue of either:			
A.   An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Rect, Frame, or for which a copy thereof is attached.			
OR			
B.  A chain of title from the Inventor(s), of the patent application/patent identified above, to the current assignee as shown below:			
1. From: To : The document was recorded in the United States Patent and Tradernark Office at ` Reel, Frame, or for which a copy thereof is attached.			
2. From: To :  The document was recorded in the United States Patent and Trademark Office at  Reel, Frame or for which a copy thereof is attached.			
3. From: To : The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.			
☐ Additional documents in the chain of title are listed on a supplemental sheet.			
☑ Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy ( <i>l.e.</i> , the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 502.8]			
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.			
28 October 2005			
28 October 2005  Date  Typed organization  SURESAN  SURESAN  Typed organization			
OBECTION TO THE TOTAL OF THE TENNION			
Telephone number  AGENCY FOR SCIENCE, TEMPERATOR			
Title			

This collection of Information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is assimilated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing titls burden, should be sunt to the Chief Information Officer, U.S. Patent and Tradomark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.